

FORM PTO-1390
(REV 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

6825

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/674468

INTERNATIONAL APPLICATION NO.

PCT/NZ00/00125

INTERNATIONAL FILING DATE

13 July 2000

PRIORITY DATE CLAIMED

13 July 1999

TITLE OF INVENTION

Interaction Prediction System and Method

APPLICANT(S) FOR DO/EO/US

Andrew J. Cardno

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(X)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19(35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

Express Mail mailing label number EL 115 561 645 US

Date of Deposit October 30, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

Brian Ballard

Name

Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.1)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/674468		PCT/N200/00125		6825	

<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO. \$860.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 15%;">RATE</th> <th style="width: 15%;">AMOUNT</th> <th style="width: 15%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>45 - 20 =</td> <td>25</td> <td>X \$18.00</td> <td>\$ 450</td> <td></td> </tr> <tr> <td>Independent claims</td> <td>6 - 3 =</td> <td>3</td> <td>X \$80.00</td> <td>\$ 240</td> <td></td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0</td> <td>+ \$270.00</td> <td>\$ —</td> </tr> <tr> <td colspan="4" style="text-align: right;">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$ 1820</td> <td></td> </tr> </tbody> </table> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.</p> <p style="text-align: right;">SUBTOTAL = \$ 910</p> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p> <p style="text-align: right;">TOTAL NATIONAL FEE = \$ 910</p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p> <p style="text-align: right;">TOTAL FEES ENCLOSED = \$ 910</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;"></td> <td style="width: 20%;">Amount to be refunded:</td> <td style="width: 20%;">\$</td> </tr> <tr> <td></td> <td>charged:</td> <td>\$</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	AMOUNT		Total claims	45 - 20 =	25	X \$18.00	\$ 450		Independent claims	6 - 3 =	3	X \$80.00	\$ 240		MULTIPLE DEPENDENT CLAIM(S) (if applicable) 0				+ \$270.00	\$ —	TOTAL OF ABOVE CALCULATIONS =				\$ 1820			Amount to be refunded:	\$		charged:	\$	<p>CALCULATIONS PTO USE ONLY</p>
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	charged:	\$																																			

a. ☒ A check in the amount of \$ 910.00 to cover the above fees is enclosed.

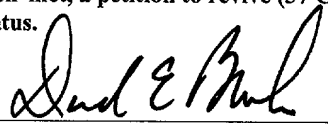
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-120. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

David E. Bruhn
Dorsey + Whitney, LLP
Pillsbury Center South
220 South Sixth Street
Minneapolis, MN 55402


 SIGNATURE:
David E. Bruhn
 NAME
36,762
 REGISTRATION NUMBER

Dkt. No. 6825

Inventor: Andrew John Cardno
Serial No.: Not yet known
Filed: Herewith
For: Interaction Prediction System and Method

**STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (c))
SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☐ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: Compudigm International Limited

Address of Concern: Level 16, Compudigm House, 49 Boulcott Street, Wellington, New Zealand

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled = by inventor(s) = described in

- ☒ the specification filed herewith
- ☐ application Serial No. _____, filed _____
- ☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate statements are required from each named person,

concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

N/A

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

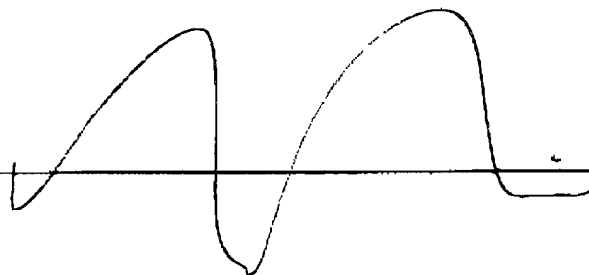
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: *Andrew John Cardno*

TITLE OF PERSON SIGNING: *Director*

ADDRESS OF PERSON SIGNING: Level 16, Compudigm House, 49 Boulcott Street, Wellington,
New Zealand

SIGNATURE



DATE *25 October 2000*

Dkt. No. 6825

Inventor: Andrew John Cardno
Serial No.: Not yet known
Filed: Herewith
For: Interaction Prediction System and Method

**STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27 (c))
SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
- ☐ an official of the small business concern empowered to act on behalf of the concern identified below:

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I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled = by inventor(s) = described in

- ☒ the specification filed herewith
- ☐ application Serial No. _____, filed _____
- ☐ Patent No. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate statements are required from each named person,

concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

N/A

NAME:

ADDRESS:

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

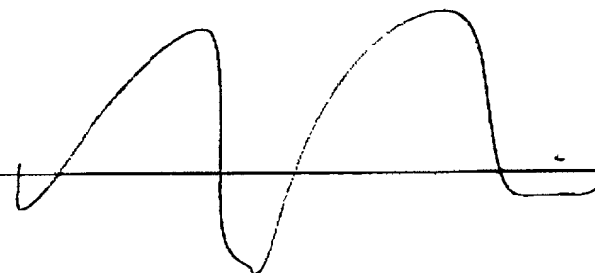
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: *Andrew John Cardno*

TITLE OF PERSON SIGNING: *Director*

ADDRESS OF PERSON SIGNING: Level 16, Compudigm House, 49 Boulcott Street, Wellington,
New Zealand

SIGNATURE



DATE *25 October 2000*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew J. Cardno

International Application No.: PCT/NZ00/00125

International Filing Date: July 13, 2000

Title: Interaction Prediction System and Method

Preliminary Amendment

Assistant Commissioner for Patents
Box PCT
Washington D.C. 20231

Dear Sir:

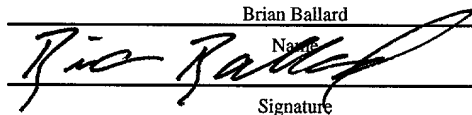
Please preliminarily amend the above-identified U.S. national stage application, filed
herewith under 35 U.S.C. 371, as follows:

Express Mail mailing label number EL 115561645 US

Date of Deposit October 30, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

Brian Ballard


Signature

In the Specification

Please amend the specification by inserting after the title:

--RELATED APPLICATIONS

This application claims the priority of PCT Application No. PCT/NZ00/00125, filed July 13, 2000.--

In the Claims

Please cancel claims 2 through 45.

Please add claims 46 through 89

46. A system as claimed in claim 1 wherein the interaction data includes the date and/or time of the interaction and wherein the neural network is trained on data including the date and/or time of the interaction.

47. A system as claimed in claim 1 wherein one or more of the merchants operate from one or more commercial premises, the interaction data includes a monetary value of the interaction and wherein the neural network is trained on data including the monetary value of the interaction.

48. A system as claimed in claim 47 wherein one or more of the merchants operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

49. A system as claimed in claim 48 wherein the interaction data includes a machine identifier for each interaction and wherein the neural network is trained on data including the machine identifier for interactions between customers and merchants.

50. A system as claimed in claim 48 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is trained on data including the spatial position of the machine involved in the interaction.

51. A system as claimed in claim 50 wherein the neural network is trained on data including the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

52. A neural network training system comprising:

a memory in which is maintained an interaction database of interaction data representing interactions between customers and merchants;

a retrieval device arranged to retrieve from the interaction database data representing interactions between customers and merchants;

a neural network arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and

a training device arranged to compare the data retrieved from the interaction database and the prediction data and to adjust the neural network based on the comparison.

53. A neural network training system as claimed in claim 52 wherein the interaction data includes the date and/or time of the interaction, the neural network further arranged to receive as input the date and/or time of interactions between customers and merchants.

54. A neural network training system as claimed in claim 52 wherein one or more merchants operates from one or more commercial premises, the interaction data includes a monetary value of the interaction and wherein the neural network is further arranged to receive as input the monetary value of the interaction.

55. A neural network training system as claimed in claim 54 wherein the merchant operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

56. A neural network training system as claimed in claim 55 wherein the interaction data includes a machine identifier for each interaction, the neural network further arranged to receive as input the machine identifier for interactions between customers and merchants.

57. A neural network training system as claimed in claim 55 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is further arranged to receive as input the spatial position of the machine involved in the interaction.

58. A neural network training system as claimed in claim 57 wherein the neural network is arranged to receive as input the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

59. An interaction prediction computer program comprising:
a neural network maintained in a memory, the neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants;
a retrieval device arranged to activate the neural network and to retrieve prediction data representing future interactions between customers and merchants; and
a display arranged to display a representation of the prediction data.

60. A computer program as claimed in claim 59 wherein the interaction data includes the date and/or time of the interaction and wherein the neural network is trained on data including the date and/or time of the interaction.

61. A computer program as claimed in claim 59 wherein one or more of the merchants operate from one or more commercial premises, the interaction data includes a monetary value of the interaction and wherein the neural network is trained on data including the monetary value of the interaction.

62. A computer program as claimed in claim 61 wherein one or more of the merchants operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

63. A computer program as claimed in claim 62 wherein the interaction data includes a machine identifier for each interaction and wherein the neural network is trained on data including the machine identifier for interactions between customers and merchants.

64. A computer program as claimed in claim 62 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is trained on data including the spatial position of the machine involved in the interaction.

65. A computer program as claimed in claim 64 wherein the neural network is trained on data including the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

66. A computer program as claimed in claim 59 embodied on a computer readable medium.

67. A neural network training computer program comprising:
an interaction database of interaction data representing interactions between customers and merchants maintained in a memory;
a retrieval device arranged to retrieve from the interaction database data representing interactions between customers and merchants;
a neural network maintained in a memory, the neural network arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and
a training device arranged to compare the data retrieved from the interaction database and the prediction data and to adjust the neural network based on the comparison.

68. A computer program as claimed in claim 67 wherein the interaction data includes the date and/or time of the interaction, the neural network further arranged to receive as input the date and/or time of interactions between customers and merchants.

69. A computer program as claimed in claim 67 wherein one or more merchants operates from one or more commercial premises, the interaction data includes the monetary value of the interaction and wherein the neural network is trained on data including the monetary value of the interaction.

70. A computer program as claimed in claim 69 wherein the merchant operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

71. A computer program as claimed in claim 70 wherein the interaction data includes a machine identifier for each interaction, the neural network further arranged to receive as input the machine identifier for interactions between customers and merchants.

72. A computer program as claimed in claim 70 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is further arranged to receive as input the spatial position of the machine involved in the interaction.

73. A computer program as claimed in claim 72 wherein the neural network is arranged to receive as input the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

74. A computer program as claimed in claim 67 embodied on a computer readable medium.

75. A method of predicting interactions between customers and merchants, the method comprising the steps of:

maintaining in a memory a neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants;

activating the neural network;

retrieving prediction data representing future interactions between customers and merchants from the neural network; and

displaying a representation of the prediction data.

76. A method as claimed in claim 75 wherein the interaction data includes the date and/or time of the interaction and wherein the neural network is trained on data including the date and/or time of the interaction.

77. A method as claimed in claim 75 wherein one or more of the merchants operate from one or more commercial premises, the interaction data includes the monetary value of the interaction and wherein the neural network is trained on data including the monetary value of the interaction.

78. A method as claimed in claim 77 wherein one or more of the merchants operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

79. A method as claimed in claim 78 wherein the interaction data includes a machine identifier for each interaction and wherein the neural network is trained on data including the machine identifier for interactions between customers and merchants.

80. A method as claimed in claim 78 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is trained on data including the spatial position of the machine involved in the interaction.

81. A method as claimed in claim 80 wherein the neural network is trained on data including the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

82. A method of training a neural network comprising the steps of:
maintaining in a memory an interaction database of interaction data representing interactions between customers and merchants;
retrieving from the interaction database data representing interactions between customers and merchants;
arranging a neural network to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and
comparing the data retrieved from the interaction database and the prediction data and adjusting the neural network based on the comparison.

83. A method of training a neural network as claimed in claim 82 wherein the interaction data includes the date and/or time of the interaction, the method comprising the step of arranging the neural network to receive as input the date and/or time of interactions between customers and merchants.

84. A method of training a neural network as claimed in claim 82 wherein one or more merchants operates from one or more commercial premises and the interaction data includes the monetary value of the interaction, the method further comprising the step of arranging the neural network to receive as input the monetary value of the interaction.

85. A method of training a neural network as claimed in claim 84 wherein the merchant operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

86. A method of training a neural network as claimed in claim 85 wherein the interaction data includes a machine identifier for each interaction, the method further comprising the step of arranging the neural network to receive as input the machine identifier for interactions between customers and merchants.

87. A method of training a neural network as claimed in claim 85 wherein each gaming machine has a spatial position and the interaction data includes the spatial position of the machine involved in the interaction, the method further comprising the step of arranging the neural network to receive as input the spatial position of the machine involved in the interaction.

88. A method of training a neural network as claimed in claim 87 further comprising the step of arranging the neural network to receive as input the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

89. A neural network trained by the method as claimed in claim 82.

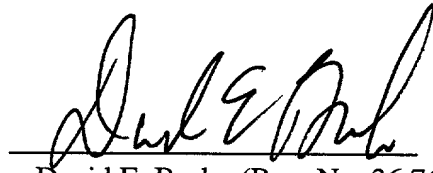
Remarks

The above amendments have been made in order to clarify the claims of priority and to conform the claims.

Respectfully submitted,

Date:

October 30, 2008



David E. Bruhn (Reg. No. 36,762)
Dorsey & Whitney LLP
Pillsbury Center South
220 South Sixth Street
Minneapolis, Minnesota 55402-1498
Telephone: 612-340-6317

Attorney for Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew John Cardno

Serial No.: 09/674,468

Filing Date: October 30, 2000

Title: Interaction Prediction System and Method**Second Preliminary Amendment**

Assistant Commissioner for Patents

Box: PCT

Washington D.C. 20231

Dear Sir:

Please preliminarily amend the first Preliminary Amendment, filed October 30, 2000, for the above-identified application as follows:

Replace priority statement "This application claims the priority of PCT Application No. PCT/NZ00/00125, filed July 13, 2000" with --This application claims the priority of PCT Application No. PCT/NZ00/00125, filed July 13, 2000 which claims priority of New Zealand Application No. 336743, filed July 13, 1999-- all of which are incorporated herein.

I hereby certify that the document is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

March 20, 2001
(Date of Deposit)
Marilyn Yahr
(Name)
Marilyn Yahr
Signature

Remarks

The above amendment has been made in order to clarify the claims of priority.

Respectfully submitted,

Date: March 20, 2001

By James H. Ackley
James H. Ackley
Registration No. 45,695
Dorsey & Whitney
Pillsbury Center South
220 South Sixth Street
Minneapolis, Minnesota 55402-1498
Telephone: 612-340-~~7661~~ 6381

Attorney for Applicant

7/PRF

09/ 674 468
526 Rec'd PCT/PTO 30 OCT 2000

188324

INTERACTION PREDICTION SYSTEM AND METHOD

FIELD OF INVENTION

- 5 The invention relates to an interaction prediction system and method, particularly but not solely designed for predicting future revenue from individual gaming machines in a casino.

BACKGROUND TO INVENTION

10

The low cost of data storage hardware has led to the collection of large volumes of data. Merchants, for example, generate and collect large volumes of data during the course of their business. To compete effectively, it is necessary for a merchant to be able to identify and use information hidden in the collected data. This data could include revenue from gaming machines in a casino. The task of identifying this hidden information has proved very difficult for merchants.

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Traditionally, analysis of data has been achieved by running a query on a set of data records stored in a database. The merchant or other party first creates a hypothesis, converts this hypothesis to a query, runs the query on the database, and interprets the results with respect to the original hypothesis.

20

One disadvantage of this verification-driven hypothesis approach is that the merchant must form the desired hypothesis in advance. This is merely confirming what the merchant already suspects and does not provide the merchant with information which may be unexpected. Another disadvantage is that the merchant needs to have available the technical knowledge to formulate what are often very difficult and complex queries.

25

30 SUMMARY OF INVENTION

35

In broad terms the invention comprises an interaction prediction system comprising a memory in which is maintained a neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants; retrieval means arranged to activate the neural network and to retrieve prediction data representing future interactions between customers and merchants; and display means arranged to display a representation of the prediction data.

In another form in broad terms the invention comprises a neural network training system comprising a memory in which is maintained an interaction database of interaction data representing interactions between customers and merchants; retrieval means arranged to retrieve from the interaction database data representing interactions between customers and merchants; a neural network arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and training means arranged to compare the data retrieved from the interaction database and the prediction data and to adjust the neural network based on the comparison.

In another form in broad terms the invention comprises an interaction prediction computer program comprising a neural network maintained in a memory, the neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants; retrieval means arranged to activate the neural network and to retrieve prediction data representing future interactions between customers and merchants; and display means arranged to display a representation of the prediction data.

In a further form in broad terms the invention comprises a neural network training computer program comprising an interaction database of interaction data representing interactions between customers and merchants maintained in a memory; retrieval means arranged to retrieve from the interaction database data representing interactions between customers and merchants; a neural network maintained in a memory, the neural network arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and training means arranged to compare the data retrieved from the interaction database and the prediction data and to adjust the neural network based on the comparison.

In another form in broad terms the invention comprises a method of predicting interactions between customers and merchants, the method comprising the steps of maintaining in a memory a neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants; activating the neural network; retrieving prediction data representing future interactions between customers and merchants from the neural network; and displaying a representation of the prediction data.

In another form in broad terms the invention comprises a method of training a neural network comprising the steps of maintaining in a memory an interaction database of interaction data representing interactions between customers and merchants; retrieving from the interaction database data representing interactions
5 between customers and merchants; arranging a neural network to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and comparing the data retrieved from the interaction database and the prediction data
10 and adjusting the neural network based on the comparison.

BRIEF DESCRIPTION OF THE FIGURES

Preferred forms of the interaction prediction system and method will now be
15 described with reference to the accompanying Figures in which:

Figure 1 shows a block diagram of a system in which one form of the invention may be implemented;

20 Figure 2 shows the preferred system architecture of hardware on which the present invention may be implemented;

Figure 3 shows a preferred database schema for storing interaction data;

25 Figure 4 is a block diagram of the preferred neural network of the invention;

Figure 5 is a flow chart of one method of training the neural network;

Figure 6 is a flow chart showing use of the trained neural network; and

30 Figure 7 is a data visualisation generated in accordance with one form of the invention.

DETAILED DESCRIPTION OF PREFERRED FORMS

Figure 1 illustrates a block diagram of the preferred system 10 in which one form of the present invention 12 may be implemented. The invention 12 is arranged to
5 compile data from a merchant premises 20.

Typically, a merchant will operate in a commercial premises or store from which a customer purchases goods or services. The merchant may, for example, operate a petrol station in one or more geographic locations. The merchant may alternatively
10 operate a wagering or betting service, or operate a casino or other gaming facility in which a number of gaming machines and stations are positioned in one or more rooms at a common venue.

In Figure 1, merchant 20 operates a casino having several gaming machines
15 available for interactions with customers in the merchant premises. Gaming machines could be grouped together into machine banks, for example bank 22 and bank 24. The merchant may also operate individual gaming machines, for example machines 26 and 28. Each of the machines in machine banks 22 and 24 and machines 26 and 28 are preferably connected to the invention 12 with a suitable
20 device such as data bus 30, enabling data to be transferred between the machines and the invention 12.

Each machine may be provided with one or more electronic meters, for example a timer, whether the machine is in use, the money placed in the machine or revenue,
25 credit wins (how much money the machine pays back) and how much money is to be paid back by a teller. The data could be transferred in real time to the invention 12 or alternatively the meters could be updated locally at the machine and each machine polled periodically by the invention 12.

The system 10 preferably includes one or more clients 40, for example 40A, 40B, 40C, 40D, 40E and 40F, which each may comprise a personal computer or workstation described below. Each client 40 is interfaced to the invention 12 as
30 shown in Figure 1. Each client 40 could be connected directly to the invention 12, could be connected through a local area network or LAN, or could be connected
35 through the Internet.

Clients 40A and 40B, for example, are connected to a network 42, such as a local area network or LAN. The network 42 could be connected to a suitable network

server 44 and communicate with the invention 12 as shown. Client 40C is shown connected directly to the invention 12. Clients 40D, 40E and 40F are shown connected to the invention 12 through the Internet 46. Client 40D is shown as connected to the Internet 46 with a dial-up connection and clients 40E and 40F are shown connected to a network 48 such as a local area network or LAN, with the network 48 connected to a suitable network server 50.

One preferred form of the invention 12 comprises a personal computer or workstation operating under the control of appropriate operating and application software having a data memory 50 connected to a server 52. The invention is arranged to retrieve or compile data from the merchant premises 20, process the data with the server 52 and to display the data on a client workstation 40, as will be described below.

Figure 2 shows the preferred system architecture of a client 40 or invention 12. The computer system 60 typically comprises a central processor 62, a main memory 64 for example RAM, and an input/output controller 66. The computer system 60 also comprises peripherals such as a keyboard 68, a pointing device 70 for example a mouse, touch pad or track ball, a display or screen device 72, a mass storage memory 74 for example a hard disk, floppy disk or optical disc, and an output device 76 for example a printer. The system 60 could also include a network interface card or controller 78 and/or a modem 80. The individual components of the system 60 could communicate through a system bus 82.

As a customer interacts with a merchant, the interaction generates interaction data which is then migrated to the data memory 50. Migration may be performed, for example, by way of daily updates. It is advantageous to cleanse, catalogue and validate the interaction data during migration of the data to the memory and this could be performed by either the merchant or a third party. The interaction data could be stored in a number of records in a relational database. Figure 3 illustrates a typical database schema for storing interaction data. Each interaction record 100 could include an interaction identifier 102 which uniquely identifies the particular interaction between customer and merchant.

Where the merchant operates a casino or gaming facility, the merchant may have assigned to each individual gaming machine a machine identifier. The merchant may also assign a group identifier to a pre-specified group of machines and may also assign a machine bank identifier to a bank of gaming machines. The interaction

record 100 could include a machine identifier, a machine group identifier, and a machine bank identifier indicated at 104, 106 and 108 respectively.

5 The record 100 could also include a customer identifier 110. The merchant may, for example, issue an incentive supported customer loyalty card which is then used by the customer during interactions with the merchant. The loyalty card preferably has stored on it a customer identifier. Alternatively, if the customer pays for the interaction using a credit card, EFTPOS or stored value card, the customer identifier could include an identifier obtained from the card.

10

The record may also include data such as the date and/or time at which the interaction between the customer and the merchant took place shown at 112. Where it is envisaged that the interactions could be prolonged, for example where a customer maintains an interaction with a gaming machine, the date/time identifier 15 112 could include the date/time when the interaction commenced and the date/time when the interaction was terminated.

20 The record 100 could also include the value 114 of the interaction, for example the money transferred from the customer to the gaming machine, and where the machine is arranged to make payouts to the customer, the net value of the interaction.

25 The interaction data could also include the spatial position of gaming machines, as is more particularly described in our patent specification PCT/NZ00/00101 to Compudigm International Limited filed on 19 June 2000 entitled "Spatial Data Management System and Method" which is incorporated by reference.

30 The database schema could include a further database table 150 for storing the spatial location of individual machines. It will be appreciated that this table could be normalised to an appropriate extent to avoid data redundancy. It will also be appreciated that table 150 could alternatively be represented in an object-oriented form.

35 A typical record 150 could include a machine identifier 152 to identify the particular gaming machine. The record preferably represents each gaming machine as a set of vertices which together define the polygon representing a 2-dimensional plan view of each machine. Each data set preferably defines the geographic co-ordinates of the vertices of each polygon.

Table 150 could include a vertex identifier 154 to identify an individual vertex of a particular gaming machine. Position data such as x co-ordinates indicated at 156 and y co-ordinates indicated at 158 could represent the geographic position of an individual vertex in the New Zealand Map Grid (NZMG) Local Co-ordinate System Notation. It is envisaged that the geographic co-ordinates could alternatively be represented in Australian Map Grid (AMG) Notation, in WGS84, or as a latitude or longitude, or in any other suitable map grid notation system.

The schema 150 could also include a date and/or time field 160 for storing the date and/or time a particular machine location record is created or edited. This would enable the invention to keep track of changes made to the positions of individual gaming machines stored in the database.

Referring to Figure 4, in one preferred form of the invention, a neural network 200 is arranged to run on the server 52. The preferred neural network could be implemented in C++, Visual Basic, or another object-oriented language suitable for the purpose. Where the system includes only one processor or server, each neuron could be arranged to run on that processor. Where the system includes more than one processor, the neurons could be arranged to run on different processors. Ideally each neuron will run on a separate processor.

The preferred neural network 200 is a multi layer perceptron having an input layer 202, a hidden layer 204, and an output layer 206. It is envisaged that the network 200 could include more than one hidden layer. The input layer 202 and output layer 206 are shown as including 5 nodes and the hidden layer 204 shown as including 10 nodes. It will be appreciated that the number of nodes in each of the layers could be varied significantly. It is however usual that where there is n nodes in the input layer there are $n(n-1)/2$ nodes in the hidden layer.

Signals are received by one or more nodes in the input layer 202. These signals are transformed and output to nodes in the hidden layer 204. As shown in Figure 4, the neural network 200 may be arranged so that output signals from each node in the input layer 202 are sent to each node in the hidden layer 204.

The output signal from each node in the input layer 202 could be multiplied by a weight before reaching a node in the hidden layer 204. In Figure 4, for example, signals having positive weights are shown as solid lines, while signals having

negative weights are shown as dotted lines. The absolute value of the weight may also be varied, and in Figure 4 the thickness of the line indicating a signal is proportional to the absolute value of the weight on that signal.

- 5 Signals received by nodes in the hidden layer 204 are transformed and output to one or more nodes in the output layer 206. Each node in the hidden layer 204 may be arranged to send an output signal to the output layer 206.

- 10 Once again, the signals may be weighted, and positive weights are indicated as solid lines and negative weights are indicated as dotted lines. The absolute value of the weight may also be varied, and the thickness of the line is proportional to the absolute value of the weight attached to a particular signal.

- 15 The preferred neural network is arranged so that each node receives a signal as input, performs a transfer or activation function on the signal, and outputs a numerical value as a result of this function. This transfer function could be, for example, the following logistic function:

20
$$out = f(in) = \frac{1}{1 + \exp^{-in}}$$

- Advantages of this logistic function are that it is infinitely differentiable, it is smooth, monotonically increasing, and maps the real line on the (0,1) interval. If the original
25 signal is too strong, it will give an output close to 1. If the signal is too weak, it will give an output close to 0.

- It is envisaged that other known functions suitable for the purpose could replace the above logistic function, for example a linear function such as:

30
$$out = f(in) = \frac{1}{L(in)}$$

where L is a linear function.

Although the preferred neural network is arranged so that nodes in the input layer 202 send output signals to the nodes in the hidden layer 204, and nodes in the hidden layer 204 send output signals to node(s) in the output layer 206, it is envisaged that some nodes in the input layer 202 may be arranged to transfer signals directly to node(s) in the output layer 206. Such direct connections may be suitable for approximating linear functions. It is also envisaged, as shown in Figure 4, that some nodes in the input layer do not send signals to all nodes in the notation layer, and some nodes in the hidden layer do not send signals to all nodes in the output layer.

The neural network 200 is preferably trained on interaction data retrieved from the data memory 50. Interaction records as indicated at 208 could include the machine, group and/or machine bank identifier, the date/time, the revenue and/or the spatial position. Each interaction record is preferably fed to a pre-processor 210 which could transform the input data into a manner suitable for the neural network 200. The range of values could be transformed, for example, so that the values belong in the (0,1) interval.

The pre-processor 210 could also determine the particular data on which the neural network will be trained. The pre-processor, for example, could assign null weights to various fields of the interaction records with the result that this information is not fed to the neural network. Other fields which could be more important are assigned non-null weights and could also be ranked above other non-null weights. The pre-processor could include a linear function, a non-linear function and/or another neural network to transform the values to a range suitable for the neural network 200.

The neural network 200 could also include a post-processor 212 which could be arranged to apply the inverse function used by the pre-processor 210 and display the output value or values in a suitable format with a display means 214. It is envisaged that post-processing could include a linear function, a non-linear function or another neural network.

Figure 5 illustrates one preferred method of training the neural network. The network is first initialised by setting initial signal weights as indicated at 300. One method of initialisation involves setting weights to random values initially.

As indicated at 302, data representing interactions between customers and merchants is retrieved from memory 50. As shown at 304, the data retrieved from memory 50 could undergo pre-processing. The data could be transformed in a manner suitable for the neural network, for example the range of values could be transformed so that the values belong in the (0,1) interval. The data could be scaled by a preprocessing function so that the maximum input values would be scaled to 1, and values less than the maximum lie between 0 and 1. The pre-processing function could include a linear function, a non-linear function, or another neural network, to transform the values to a range suitable for the purpose.

10

As shown in 306, the data is then fed to the neural network. It will be appreciated that this data could be raw data retrieved from the memory at step 302, could be data preprocessed by the step of 304, or could be a mix of raw and processed data.

15 The neural network then acts on the input data and produces an output value or values. This output value could be in the (0,1) interval where the input data has been preprocessed. Postprocessing may then be performed, as indicated at 307 by applying the inverse function used for preprocessing and displaying the output value as a revenue currency value. It is envisaged that postprocessing could include a linear function, a non-linear function, or another neural network.

20

The output value produced by the neural network represents the predicted value retrieved at step 308, and by calculating the actual value from data retrieved from the memory, the correctness of the predicted value can be determined as the fit of the predicted data to the actual data as indicated at 312. For example, where the neural network is trained to predict the revenue of a gaming machine or machine bank, the value output by the neural network could be compared to the actual revenue generated by the machine or machine bank.

25

30 The weights of the network can then be adjusted as shown at 314, based on the comparison between the predicted data and the actual data. These weights may be adjusted by any known algorithm suitable for the purpose, for example, a back propagation algorithm. If the predicted data is not a good fit to the actual data, the learning algorithm may be repeated and the weights adjusted until such time as a good fit is obtained.

35

Once learning is complete, the neural network may then be used to predict future interactions. Figure 6 illustrates use of the preferred system for this purpose. The

neural network is first activated as shown at 400. The network calculates and outputs predicted data representing future interactions between customers and merchants.

- 5 As indicated at 402, this data is retrieved from the neural network and as shown at 404, the data is displayed to the user, following which the neural network is deactivated as shown at 406.

10 The invention in one further preferred form could be arranged to display a contoured representation of data superimposed on a graphical spatial representation of the premises of the merchant generated by the system. Contoured representations are further described in our patent specification PCT/NZ00/00099 to Compudigm International Limited filed on 14 June 2000 entitled "Data Visualisation System and Method" which is incorporated by reference.

15 Figure 7 illustrates at 600 one example of a display generated by the system where the merchant operates a casino or similar gaming venue. In this example, a representation of the merchant is generated and displayed in accordance with the invention. The graphical representation comprises a spatial representation of an
20 area of the casino showing the layout of individual gaming machines and machine banks, two of which are indicated at 602 and 604 respectively.

The representation 600 could be arranged to display the revenue obtained from an individual gaming machine or could display some other key performance indicator.
25 The revenue for each machine is preferably graphically represented adjacent or near to the representation of the individual machine. There are a finite number of machines in the casino, and the individual revenues generated from each machine represent a finite set of data values. These data values are graphically illustrated as data points in the representation 600. For example, the revenue or data value for
30 machine 602 is graphically illustrated as data point 606 and the data value or revenue for machine 604 is graphically illustrated as data point 608.

The preferred representation 600 is colour-coded and the value of revenue of each machine is illustrated by representing the corresponding data points in the
35 appropriate colour to represent the correct value of revenue of each machine. It is envisaged that the invention could also display both predicted and actual data values.

The areas of the representation 600 around each data point are shown as contours. The nature of the contours for each data point are preferably represented to gradually drop off or fall away from each data point. Each data point could be represented by x and y co-ordinates indicating the relative position of each data point in the representation. Each data point could also have a z value representing the height or magnitude of the data point. This z value could indicate, for example, the revenue or data value at a particular data point. Each data value is therefore centred on a data point.

10 In summary, the invention provides an interaction prediction system and method designed to assist a casino or gaming machine operator to predict future revenue from individual gaming machines in a casino. It will be appreciated that the same invention could also have application in other areas, for example, the layout and arrangement of products in retail premises and the resulting sales of those products.

15 The foregoing describes the invention including preferred forms thereof. Alterations and modifications as will be obvious to those skilled in the art are intended to be incorporated within the scope hereof, as defined by the accompanying claims.

CLAIMS

1. An interaction prediction system comprising:
a memory in which is maintained a neural network trained on data retrieved
5 from an interaction database of interaction data representing interactions between
customers and merchants;
retrieval means arranged to activate the neural network and to retrieve
prediction data representing future interactions between customers and merchants;
and
10 display means arranged to display a representation of the prediction data.
2. A system as claimed in claim 1 wherein the interaction data includes the
date and/or time of the interaction and wherein the neural network is trained on
data including the date and/or time of the interaction.
- 15 3. A system as claimed in claim 1 or claim 2 wherein one or more of the
merchants operate from one or more commercial premises, the interaction data
includes the monetary value of the interaction and wherein the neural network is
trained on data including the monetary value of the interaction.
- 20 4. A system as claimed in claim 3 wherein one or more of the merchants
operates a casino or gaming venue comprising one or more gaming machines, each
gaming machine having a machine identifier.
- 25 5. A system as claimed in claim 4 wherein the interaction data includes a
machine identifier for each interaction and wherein the neural network is trained on
data including the machine identifier for interactions between customers and
merchants.
- 30 6. A system as claimed in claim 4 or claim 5 wherein each gaming machine has
a spatial position, the interaction data includes the spatial position of the machine
involved in the interaction and wherein the neural network is trained on data
including the spatial position of the machine involved in the interaction.
- 35 7. A system as claimed in claim 6 wherein the neural network is trained on
data including the machine identifier and/or spatial position of machines
neighbouring each machine involved in interactions between customers and
merchants.

8. A neural network training system comprising:

a memory in which is maintained an interaction database of interaction data representing interactions between customers and merchants;

5 retrieval means arranged to retrieve from the interaction database data representing interactions between customers and merchants;

a neural network arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and

10 training means arranged to compare the data retrieved from the interaction database and the prediction data and to adjust the neural network based on the comparison.

9. A neural network training system as claimed in claim 8 wherein the
15 interaction data includes the date and/or time of the interaction, the neural network further arranged to receive as input the date and/or time of interactions between customers and merchants.

10. A neural network training system as claimed in claim 8 or claim 9 wherein
20 one or more merchants operates from one or more commercial premises, the interaction data includes the monetary value of the interaction and wherein the neural network is further arranged to receive as input the monetary value of the interaction.

25 11. A neural network training system as claimed in claim 10 wherein the merchant operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

12. A neural network training system as claimed in claim 11 wherein the
30 interaction data includes a machine identifier for each interaction, the neural network further arranged to receive as input the machine identifier for interactions between customers and merchants.

13. A neural network training system as claimed in claim 11 or claim 12 wherein
35 each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is further arranged to receive as input the spatial position of the machine involved in the interaction.

14. A neural network training system as claimed in claim 13 wherein the neural network is arranged to receive as input the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

15. An interaction prediction computer program comprising:
a neural network maintained in a memory, the neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants;
retrieval means arranged to activate the neural network and to retrieve prediction data representing future interactions between customers and merchants;
and
display means arranged to display a representation of the prediction data.

16. A computer program as claimed in claim 15 wherein the interaction data includes the date and/or time of the interaction and wherein the neural network is trained on data including the date and/or time of the interaction.

17. A computer program as claimed in claim 15 or claim 16 wherein one or more of the merchants operate from one or more commercial premises, the interaction data includes the monetary value of the interaction and wherein the neural network is trained on data including the monetary value of the interaction.

18. A computer program as claimed in claim 17 wherein one or more of the merchants operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

19. A computer program as claimed in claim 18 wherein the interaction data includes a machine identifier for each interaction and wherein the neural network is trained on data including the machine identifier for interactions between customers and merchants.

20. A computer program as claimed in claim 18 or claim 19 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is trained on data including the spatial position of the machine involved in the interaction.

21. A computer program as claimed in claim 20 wherein the neural network is trained on data including the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

22. A computer program as claimed in any one of claims 15 to 21 embodied on a computer readable medium.

23. A neural network training computer program comprising:
an interaction database of interaction data representing interactions between customers and merchants maintained in a memory;

retrieval means arranged to retrieve from the interaction database data representing interactions between customers and merchants;

a neural network maintained in a memory, the neural network arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and

training means arranged to compare the data retrieved from the interaction database and the prediction data and to adjust the neural network based on the comparison.

24. A computer program as claimed in claim 23 wherein the interaction data includes the date and/or time of the interaction, the neural network further arranged to receive as input the date and/or time of interactions between customers and merchants.

25. A computer program as claimed in claim 23 or claim 24 wherein one or more merchants operates from one or more commercial premises, the interaction data includes the monetary value of the interaction and wherein the neural network is trained on data including the monetary value of the interaction.

26. A computer program as claimed in claim 25 wherein the merchant operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

27. A computer program as claimed in claim 26 wherein the interaction data includes a machine identifier for each interaction, the neural network further

arranged to receive as input the machine identifier for interactions between customers and merchants.

28. A computer program as claimed in claim 26 or claim 27 wherein
5 each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is further arranged to receive as input the spatial position of the machine involved in the interaction.

10 29. A computer program as claimed in claim 28 wherein the neural network is arranged to receive as input the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

15 30. A computer program as claimed in any one of claims 23 to 29 embodied on a computer readable medium.

31. A method of predicting interactions between customers and merchants, the method comprising the steps of:

20 maintaining in a memory a neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants;

activating the neural network;
retrieving prediction data representing future interactions between
25 customers and merchants from the neural network; and
displaying a representation of the prediction data.

32. A method as claimed in claim 31 wherein the interaction data includes the date and/or time of the interaction and wherein the neural network is trained on
30 data including the date and/or time of the interaction.

33. A method as claimed in claim 31 or claim 32 wherein one or more of the merchants operate from one or more commercial premises, the interaction data includes the monetary value of the interaction and wherein the neural network is
35 trained on data including the monetary value of the interaction.

34. A method as claimed in claim 33 wherein one or more of the merchants operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

5 35. A method as claimed in claim 34 wherein the interaction data includes a machine identifier for each interaction and wherein the neural network is trained on data including the machine identifier for interactions between customers and merchants.

10 36. A method as claimed in claim 34 or claim 35 wherein each gaming machine has a spatial position, the interaction data includes the spatial position of the machine involved in the interaction and wherein the neural network is trained on data including the spatial position of the machine involved in the interaction.

15 37. A method as claimed in claim 36 wherein the neural network is trained on data including the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

20 38. A method of training a neural network comprising the steps of:
maintaining in a memory an interaction database of interaction data representing interactions between customers and merchants;
retrieving from the interaction database data representing interactions between customers and merchants;
25 arranging a neural network to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network; and
comparing the data retrieved from the interaction database and the prediction data and adjusting the neural network based on the comparison.

30 39. A method of training a neural network as claimed in claim 38 wherein the interaction data includes the date and/or time of the interaction, the method comprising the step of arranging the neural network to receive as input the date and/or time of interactions between customers and merchants.

35 40. A method of training a neural network as claimed in claim 38 or claim 39 wherein one or more merchants operates from one or more commercial premises and the interaction data includes the monetary value of the interaction, the method

further comprising the step of arranging the neural network to receive as input the monetary value of the interaction.

41. A method of training a neural network as claimed in claim 40 wherein the
5 merchant operates a casino or gaming venue comprising one or more gaming machines, each gaming machine having a machine identifier.

42. A method of training a neural network as claimed in claim 41 wherein the
interaction data includes a machine identifier for each interaction, the method
10 further comprising the step of arranging the neural network to receive as input the machine identifier for interactions between customers and merchants.

43. A method of training a neural network as claimed in claim 41 or
claim 42 wherein each gaming machine has a spatial position and the interaction
15 data includes the spatial position of the machine involved in the interaction, the method further comprising the step of arranging the neural network to receive as input the spatial position of the machine involved in the interaction.

44. A method of training a neural network as claimed in claim 43 further
20 comprising the step of arranging the neural network to receive as input the machine identifier and/or spatial position of machines neighbouring each machine involved in interactions between customers and merchants.

45. A neural network trained by the method as claimed in any one of claims 38
25 to 44.

ABSTRACT

The invention provides an interaction prediction system comprising a memory in which is maintained a neural network trained on data retrieved from an interaction database of interaction data representing interactions between customers and merchants, retrieval means arranged to activate the neural network and to retrieve prediction data representing future interactions between customers and merchants, and display means arranged to display a representation of the prediction data. The invention also provides a neural network training system in which a neural network is arranged to receive input data representing the data retrieved from the interaction database and to output prediction data representing interaction data predicted by the neural network. The invention also provides computer programs and methods of interaction prediction and neural network training respectively.

FIG. 1

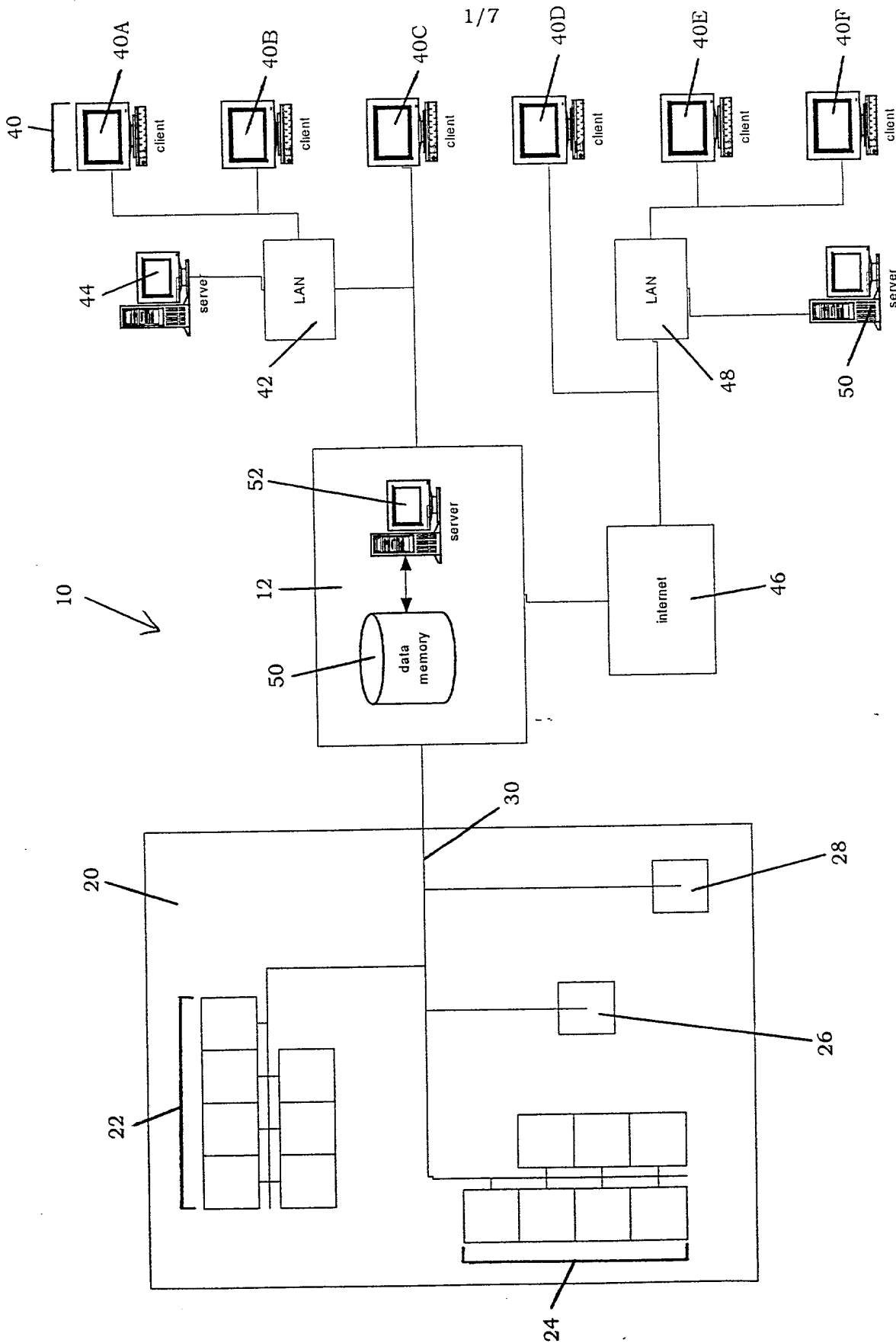


FIGURE 1

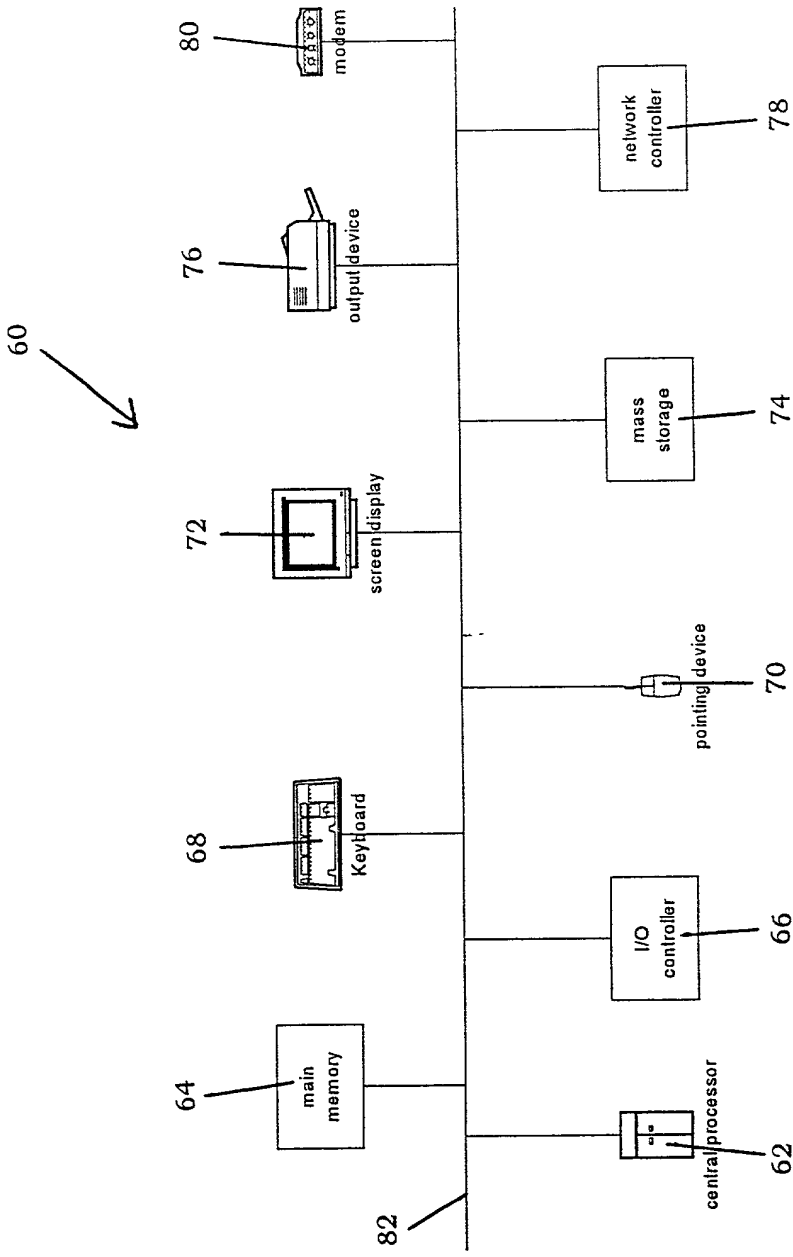


FIGURE 2

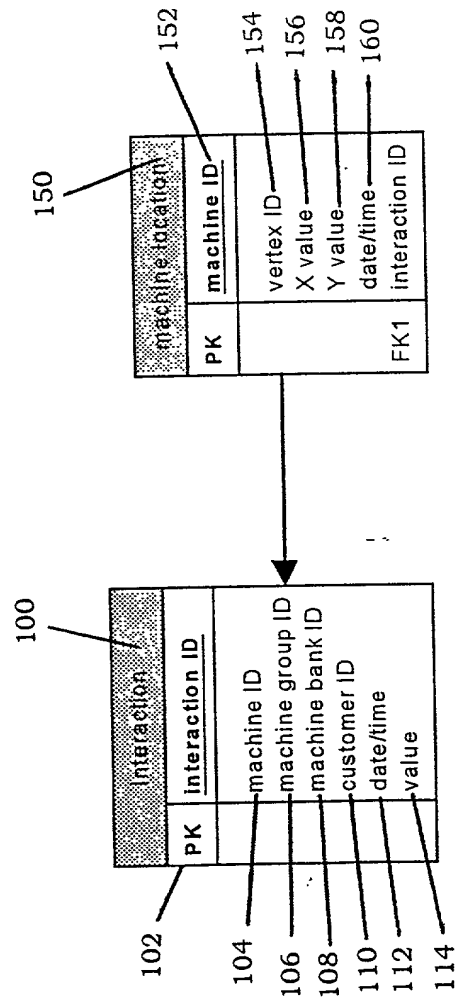


FIGURE 3

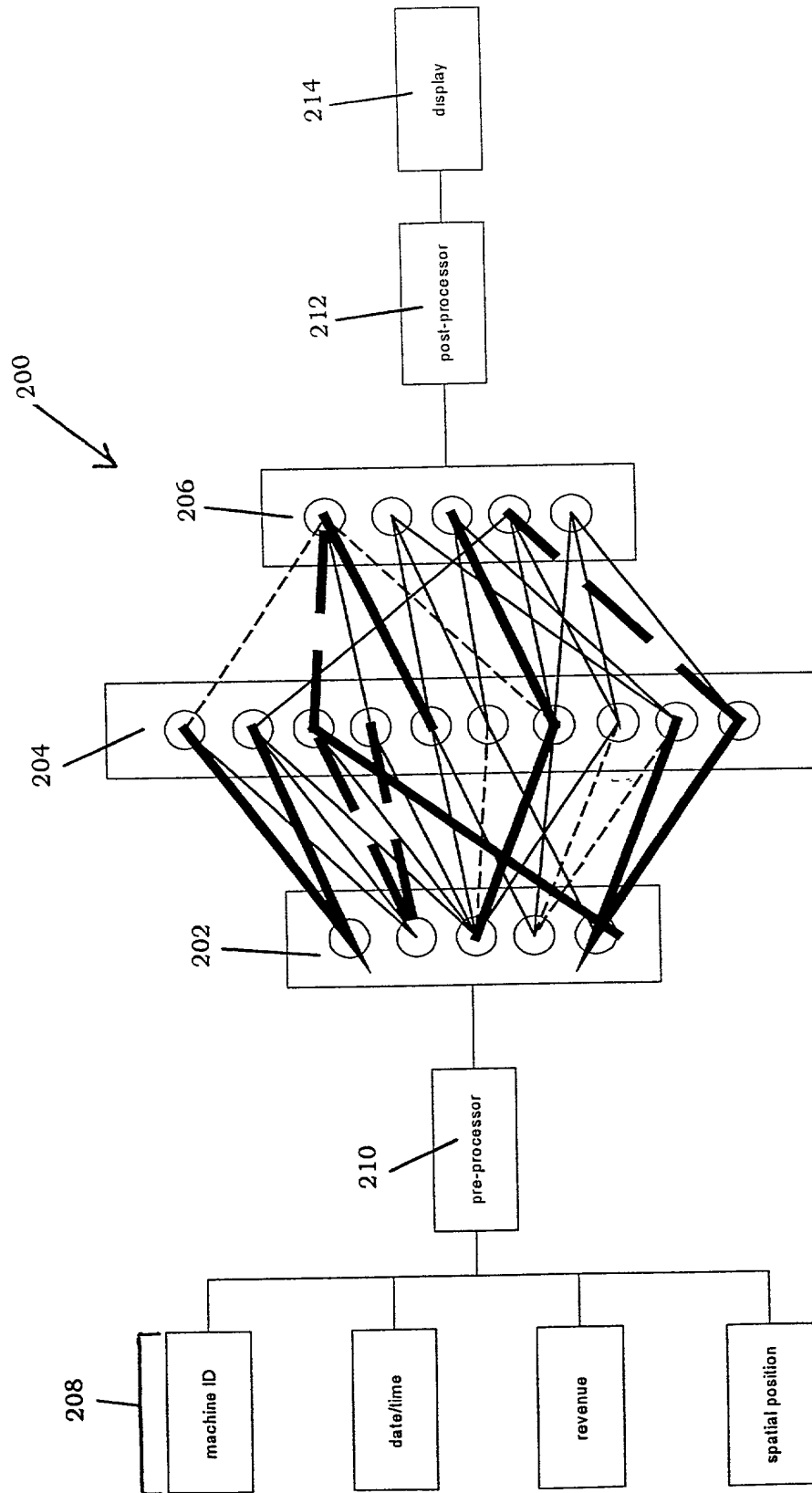


FIGURE 4

5/7

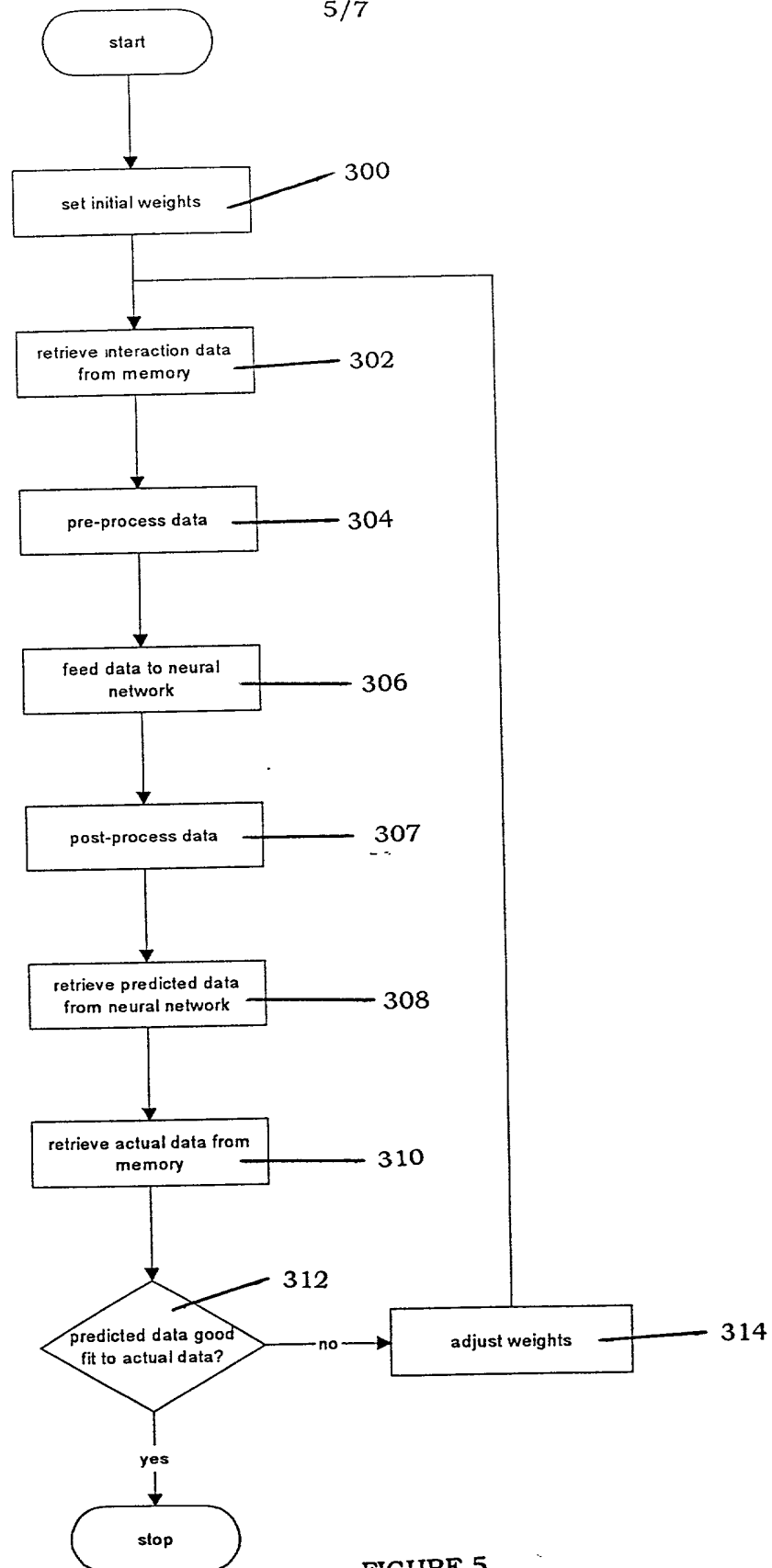


FIGURE 5

6/7

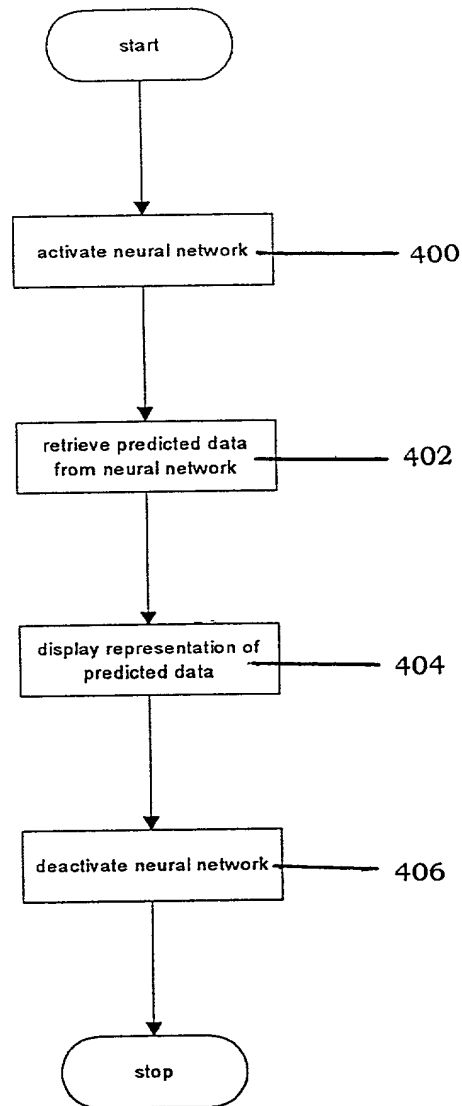


FIGURE 6

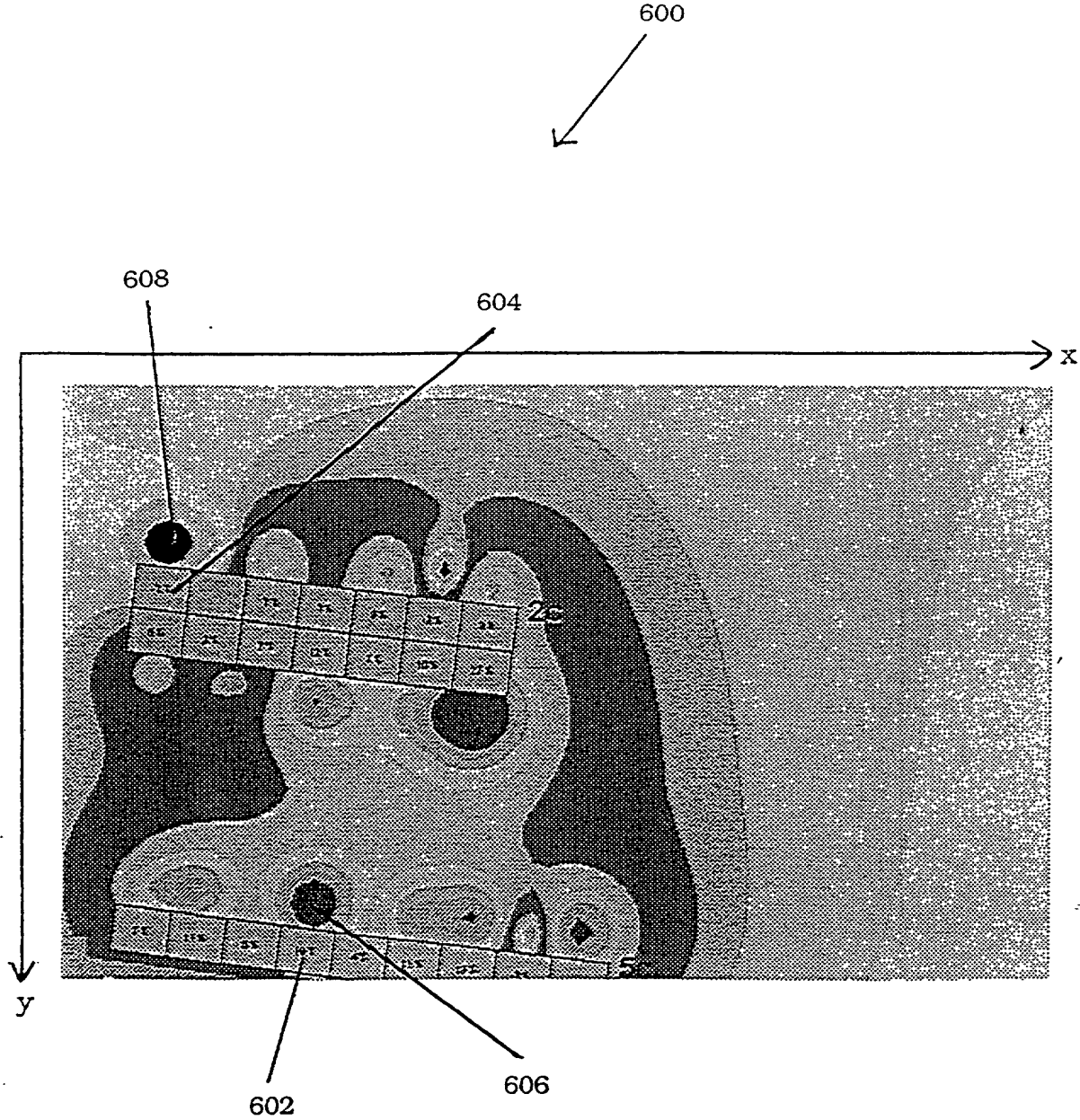


FIGURE 7

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TO 4999853

P.01/05

Docket 6825 DEB

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled "Interaction Prediction System and Method", the specification of which

☐ is attached hereto.

☒ was filed on _____ as United States application number 09/674,468 and amended on (NA).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States, listed below, and I have also identified and listed below any foreign application(s) for patent or inventor's certificate, or PCT international application, having a filing date before that of the application(s) on which priority is claimed:

FOREIGN APPLICATION(S)

Number	Country	day/month/year filed	Priority Claimed
336743	New Zealand	13 July 1999	Yes
PCT/NZ00/00125	PCT	13 July 2000	Yes

Page 1 of 3

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any U.S. provisional application(s) listed below:

U.S. PROVISIONAL APPLICATION(S)

Application Serial No.	Filing Date

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or under Section 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

PRIORITY U.S. APPLICATION(S)

Application Serial No.	Filing Date	Status

12
I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Ronald J. Brown (29,016), David E. Bruhn (36,762), David N. Fronck (25,678), Theresa Harkes (45,501); Stuart R. Hemphill (28,084), Grant A. Johnson (42,696), Jason R. Kraus (42,765); Kenneth E. Levitt (39,747), Scott A. Marks (44,902), Devan Padmanabhan (38,262), Gerald H. Sullivan (37,243), and Jon F. Turtle (25,713)

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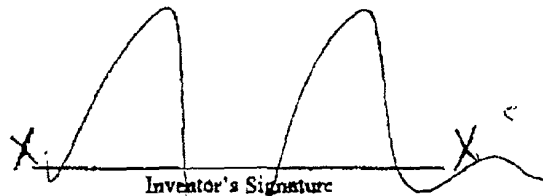
Address all correspondence to: David E. Bruhn at Dorsey & Whitney LLP, Pillsbury Center South, 220 South Sixth Street, Minneapolis, Minnesota 55402.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1-00
Andrew John Cardno
Name of Sole or First Inventor

12/3/01
Date

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